



Monitoring Registrar/CEO Performance

Council - Registrar/CEO Relationship

Policy Number: RC-RR-5

Date of Approval	Responsible Authority	Last Revised
May 16, 2012	CMLTM Council	December 10, 2025

Policy

Annual monitoring of Registrar/CEO job performance will be solely against the expected Registrar/CEO job outputs: organizational operation and accomplishment of Council policies within the boundaries established in the Executive Limitations.

Accordingly:

1. Monitoring is simply to determine the degree to which Council policies are being met. Information that does not do this will not be considered to be monitoring information.
2. The Council will acquire monitoring information by one or more of three methods.
 - a. By internal report, in which the Registrar/CEO discloses interpretations and compliance information to the Council;
 - b. By external report, in which an external third party (or parties) selected by the Council assesses compliance with Council policies; or
 - c. By direct Council inspection, in which a designated member or members of the Council assess compliance with the appropriate policy criteria.
3. In every case, the Council will judge;
 - a. The reasonableness of the Registrar/CEO's interpretation, and
 - b. Whether data demonstrate accomplishment of the interpretation.
4. In every case, the standard for compliance shall be any reasonable Registrar/CEO interpretation of the Council policy being monitored. The Council is the final arbiter of reasonableness but will always judge with a "reasonable person" test rather than with interpretations favored by Council members or by the Council as a whole.



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5. All policies that instruct the Registrar/CEO will be monitored at a frequency and by a method chosen by the Council. The Council can monitor any policy at any time by any method but will ordinarily depend on a routine schedule. A suggested schedule follows:

Policy	Method	Frequency	Month
Directional Limitations	Internal	Annually	February
Global Executive Constraint	Internal	Annually	March
Treatment of Members	Internal	Annually	May
Treatment of Staff	Internal	Annually	May
Financial Condition and Activities	Internal	Quarterly	January, April, July, October
	External	Annually	September
Financial Planning and Budgeting	Internal	Quarterly	February, May, August, November
	External	Annually	October
Emergency Registrar/CEO Succession	Internal	Annually	October
Asset Protection	Internal	Annually	November
Compensation and Benefits	Internal	Annually	November
	External	Biannually	September of odd-numbered years
Communication and Support	Direct Inspection	Annually	July

Revision History

- May 16, 2012 – Date of Approval
- October 19, 2018
- April 23, 2022
- December 10, 2025 – Last Date of Revision